

Gary Gensler, Chair  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549-1090  
United States  
[www.sec.gov](http://www.sec.gov)

Chris Barnard

02 September 2022

**- SEC Strategic Plan FY 2022-2026**

Dear Mr. Gensler.

Thank you very much for giving us the opportunity to contribute to your request for public comment on the SEC Strategic Plan for fiscal years 2022-2026.

The Securities and Exchange Commission (SEC) is providing notice that it is seeking comments on its draft 2022–2026 Strategic Plan. The draft Strategic Plan includes a draft of the SEC’s mission, vision, values, strategic goals, and planned initiatives.

I support the SEC’s new mission statement, vision statement and core values. These are short, simple and to the point. I further support that the following strategic goals should be prioritized in order to best achieve the SEC’s mission:

- 1.1 Pursue enforcement and examination initiatives focused on identifying and addressing risks and misconduct that affects individual investors.
- 1.2 Enhance the use of market and industry data, particularly to prevent, detect, and enforce against improper behavior.
- 1.3 Modernize design, delivery, and content of disclosures so investors, including in particular retail investors, can access consistent, comparable, and material information to make informed investment decisions.
- 2.1 Update existing SEC rules and approaches to reflect evolving technologies, business models, and capital markets.
- 2.2 Examine strategies to address systemic and infrastructure risks faced by our capital markets and our market participants.
- 2.3 Recognize significant developments and trends in our evolving capital markets and adjust our activities accordingly.

I agree with the scope of strategic goal 2.3 in that investor education and outreach must continue to focus on diverse, vulnerable and underserved communities as well as on emerging and popular investment topics. I firmly believe that this should form part of a coordinated effort on behalf of federal agencies to promote overall financial literacy, as the level of financial literacy in the general population is shockingly low.<sup>1</sup>

It goes without saying that the SEC is expected to improve regulatory efficiency, operate transparently, cut unnecessary costs, and promote a diverse workforce.

Yours sincerely

C.R.B.

Chris Barnard

---

<sup>1</sup> See for example <https://www.financialeducatorsCouncil.org/financial-literacy-statistics/>